

PATENT
450101-03303IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Motoki KATO et al.
Int'l Application : PCT/JP01/03413
Int'l Filing Date : 20 April 2001
For : INFORMATION PROCESSING APPARATUS AND
METHOD, PROGRAM AND RECORDING MEDIUM
U.S. Serial No. : 10/018,823

745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on April 16, 2002

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or
Registered Representative

Gordon Kessler
Signature

April 16, 2002

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notification of
Missing Requirements" (form PCT/DO/EO/905) dated February 28,
2002 on the above application, a Declaration duly signed by the
inventors, and a check in the amount of the required \$130.00
surcharge. By separate cover, we are filing the Assignment and
the \$40.00 fee for recording same.

PATENT
450101-03303

Please charge any additional fees incurred or credit
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the
identified application is now complete. Early examination of the
application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: Gordon Kessler
Gordon Kessler
Reg. No. 38,511
Tel. (212) 588-0800

Enclosure

FROMMER LAWRENCE & HAUG LLP

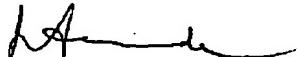
745 Fifth Avenue
New York, New York 10151
Telephone: (212) 588-0800
Facsimile: (212) 588-0500

FACSIMILE COVER LETTER

To: Ms. Barbara Campbell
Firm: USPTO
Facsimile No.: 703-746-6705
Tel. No. 703-3053631
From: LAILA KORDE/William S. Frommer, Esq.
Date: January 21, 2003
Re: Our Ref.: 450101-03303
U.S. Serial No. 10/018,823

No. of Pages: 10
(including cover page)

Dear Ms. Campbell: Per your request of 1/17/03, we are sending you herewith documents as filed on April 24, 2002 (which includes a signed Declaration). Also find a copy of the postcard return receipt. Thank you for your attention to this matter.



If you do not receive all pages or are unable to read the transmission, please call Laila Korde at 212-863-2011

CONFIDENTIALITY NOTICE

The documents accompanying this transmission contain confidential information intended for a specific individual and purpose. The information is private, and is legally protected by law. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution, or the taking of any action in reliance on the contents of this facsimile is strictly prohibited.

00107764

JC05 Rec'd PCT/PCTO 24 APR 2002
Serial No. 101018,823 File No. 450101-03303 By Woffar
Title In the Matter of the Application of MOTOKI KATO et al.

The following due 4/28 in the U.S. Patent Office, was received in the Patent Office

Affidavit Declaration Express Mail Mailing Certificate
 Amendment (separate sheet)
 Preliminary Amendment Check No. 20713 for \$ 130 -
 Amendment After Final Rejection Deposit Account Order Form
 Request for Extension of Time Drawing _____ Sheet(s)
 Provisional Patent Application Information Disclosure Statement
 Application for Patent, including PTO Form 1449,
 Pages Specification _____ Claims Issue Fee Transmittal
 Declaration Oath Power Brief Letter
 Request for Filing Continuation or Divisional Application for TM Registration
 Application _____ sheets, in duplicate Including _____ Specimens
 File Wrapper Continuation Patent Application Status Request Notice of Appeal
 sheets, in duplicate Petition Response
 PCT Request _____ sheets, including Priority Document
 Transmittal Letter to the US/RO Small Entity Declaration
 Assignment Recordation Cover Sheet Communication
 parts

Mailed : 4/16/02

FROMMER LAWRENCE & HAUG LLP

245 E 42ND AVENUE
NEW YORK, NY 10017MAY TWENTY-THREE
TWO THOUSAND THREE

COMMISSIONER OF PATENTS AND TRADEMARKS

THE SUM OF \$200.00

DOLLARS

THE BANK OF NEW YORK

53 MADISON AVENUE

NEW YORK, NY 10022

CHARGE ANY ADDITIONAL FEES TO DEPOSIT ACCT. #50-0320

FROMMER LAWRENCE & HAUG LLP

10-203-N-BP 150-21000-18C 10-203-11474551

DETACH AND RETAIN THIS STATEMENT

THE ATTACHED CHECKS IN PAYMENT OF ITEMS DESCRIBED BELOW
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY NO RECEIPT DESIRED

APPLICANT

TOKO KIKAI LTD - A/H-A

F&H DOCKET NO. 150-101-03303

SERIAL NO.

10-203-150-21000-18C 10-203-11474551

TITLE

DISTRIBUTION PROCEDURE APPARATUS AND METHOD, PROGRAM DEVICE PROCESSING MEDIUM

- APPEAL BRIEF
 APPEAL FEE
 CERTIFICATE OF CORRECTION
 DISCLAIMER
 EXTENSION OF TIME
 FEE FOR ADDED CLAIMS
 FILING FEE
 ISSUE FEE
 MAINTENANCE FEE
 MISSING PARTS
 NOTICE OF OPPOSITION

- FILING FEE
 PETITION FOR CANCELLATION
 PETITION TO REVIVE
 RECORDING FEE
 REQUEST FOR ORAL HEARING
 SEQ. & TM DECLARATION FEE
 STATEMENT OF USE
 TM RENEWAL

PLEASE CHARGE ANY ADDITIONAL FEES OR CREDIT OVER PAYMENT TO DEPOSIT ACCOUNT NO. 50-0320

CHARGE TO

NAME OF CLIENT OR ACCOUNT	FILE NO.	ATTORNEY	AMOUNT
Sony	150-101-03303	WSF/sar	\$130 00



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20233
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/018,823	Motoki Kato	450101-03303
INTERNATIONAL APPLICATION NO.		PCT/JP01/03413
IA. FILING DATE		PRIORITY DATE
04/20/2001		04/21/2000

William S Frommer
 Frommer Lawrence & Haug
 745 Fifth Avenue
 New York, NY 10151

CONFIRMATION NO. 1737
371 FORMALITIES LETTER



OC000000007527021

Date Mailed: 02/28/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Request for Immediate Examination

MAR - 7 A 10:52
 FROMMER, LAWRENCE
 & HAUG, LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Larg Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO	ATTY. DOCKET NO
10/018,823	PCT/JP01/03413	450101-03303

FORM PCT/DO/EO/905 (371 Formalities Notice)